

)DOO  
,QWHUQVKLS ,17 (QUROOPHQW &KHF

&RPSOHWHG 6WXGHQW /HDUQLQJ \$JUHHPHQW DQG DOO UHTXL  
&DUHHU &HQWHU F&HHLVRIQD LHCSDM VGD\ 2FWREHU 7K  
SURFHVV ,17 HQURO\_OPHQW XSRQ UHFHLSW RIDOO UHTXLUHG C

7KHUH ZLOO QRW EH DQ\ ODWH 6WXGHQW /HDUQLQJ

&RPSOHWH ,17 3DFNHW ,QFOXGHV

FX>,QWHUQDWLPp &RPSQD&G` H•Q`t" €p0 ð €"xiàRQ

FX/LVW ,17 6WDUW DQG (QG 'DWHV 3DJH

FX&RPSOHWH ,QWHUQVKLS 6LWH ,QIRUPDWLRQ 3DJH

FX&RPSOHWH /HDUQLQJ 2EMHFWLYHV 6WUDWHJLHV IRU &RPS

FX6LJQH 6WXGHQW /HDUQLQJ \$JUHHPHQW 3DJH

FX,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3DJH

FX6LJQH 6WXGHQW :DLYHURI /LDELOLW\ DQG \$VVXPSWLRQR

FX,QWHUQVKLS -RE 'HVFULSWLRQRU 3RVWLQJ

FX,QWHUQVKLS 2IIHU /HWWHU

FX>6XPPHU 2QO\@ 2IILFH RI WKH 5HJLVWUDU ² 6XPPHU 5HJLVW

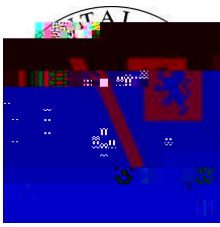
6XEPLWWLQJ)RUPV (OHFWURQLFDOO\

6WXGHQWV UHPDLQ UHVSQRVLEOH IRU FROOHFWLQJ WKH QHFHVVDU\ VLJQ  
SURFHVV DUHTXHVV ZLWKRXW WKH QHFHVVDU\ DSSURYDO V 3OHDVH QR

6WXGHQWV DUHH [SHFWHG WR FRPSOHWH WKH IRUP DQG VHQG LW WR WKHL  
GRFXPHQW DQG UHWXUQ WKH IRUP DV DQ DWWDFKPHQW WR WKH VWXGHQW

2QFH WKH ,17 6WXGHQW /HDUQLQJ \$JUHHPHQW LV FRPSOHWH LQFOXG  
6XPPHU 5HJLVWUDWLRQ)RUP LIDSSOLFDEOH VHQG DOO GRFXPHQWV LQ





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,17 6WXGHQW /HDUQLQJ \$JUHHPHQW

2FFLGHQWDO &ROOHJH↑V &DUHHU 6HUYLEFHV RIILFH PXVW DSSURYH DOO LQWHU  
SDUWFLSDWHLQ DILQDO UHIOHFWLYH DQG HYDOXDWLYH FRPSRQH QW ZLWK &D  
KDYH VRSKRPRUH MXQLRU RU VHQLRU VWDWXV 6WXGHQWV FDQ RQO\ EH UHJLV



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& 678'(17/(\$51,1\*2%-307,9V6) SULQW DQG RU DWDFK UHVSQRVHV

7KHLQWHUQVKLS VXSHUYLVRU DQG VWXGHQW VKRXOG PXWXDOOW DVUHHFRSPGQKHKRVM  
RXU,QWHUQVKLS 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

/H DUQLQJ 2EMHFWLYHV /2 3OHDVH DUWLFXODWHEHORZ ZKDW RXKRSHWR OHDUQLQJ  
/H DUQLQJ 2EMHFWLYHV SURYLGHLQIRUPDWLRQ WR WKHLQWHUQVKLS WRSOHYUQRUQWRG  
SHUIRUPLQJ WKHWDVN 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

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+RZGRHV WKHLQWHUQVKLS UHODWHWR RXU DFDGHPLF SURJUDPRI VWXG\ DQG SURIHV

6WUDWHJLHV IRU &RPSOHWRZQLDIOZRNKFFDWLYHRXV6 & RXU OHDUQLQJ REMHFWLYHV",GHQW  
GHSDUWPHQWV WR PHHW ZLWKRUVKDGRZHWF SOHDVH DWDFKIRUPDOLQWHUQVKLS

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6WXGHQW  
,DJUHHWRFRPSOHWHDOOZRUNDVVLJQPHQWVDQGOHDUQLQJREMHFWLYHV SURPSWO  
UHOHYDQWRUJDQLJDWLRQDOSROLFLHV SURFHGXUHV D Q G O X Q H W R Q Q F D L G W R K D S S D U R S  
P\LQWHUQVKLS VXSHUYLVRU LI, I Q H F L G H G W R F X U R S Z L W K G O W E U Q R K W S H F R X U V H W K U R X J H  
,ZLOOFRPSOHWHUHTXLUHGIRUPVDQGVXEPLWQHfHVVDU\GRFXPHQWV LQFOXGLQJD  
UHFRUGH&UHGLWFDQQRWEHLVVXHG XQWLO\$//UHTXLUHGGRFXPHQWDWLRQLV V X E R

6WXGHQW 6LJQDWXUH 3OHDVH 3ULQW 'DWH

3OHDVH QRWLI\ WKH &DUHHU 6HUylfHV RIILFH LI DQ\ GLIILFXOWLHV DUH H[SHULHQFHG Z  
RU SHUIRUPDQFH LQ WKHLQWHUQVKLS

,QWHUQVKLS 6XSHUYLVRU  
3OHDVH QRWHWKDW WKHIROORZLQJLV UHTXLUHG Q LW O R D Q D Q V S R Q L R L E V D Q G L Q W F D Q G  
D &RQIHU UHJXODUO\ ZLWK WKHLQWHUQDQG WKHLUIDFXOW\ VXSHUYLVRU DQG RU  
E 7UDLQ WKHLQWHUQDVUHTXLUHG DQG RULHQW WKHP WR WKHRUJDQLJDWLRQ\ V S  
F 0DNHQHFHVVDU\HTXLSPHQW VXSSOLHV DQG VSDFH DYDLODEOH IRU WKHLQWHUQ  
G \$UUDQJHDZHHNO\ZRUN VFKHG XOHWKDW FDQ DFFRPPRGDWH WKHLQWHUQ\ V D F D  
H 3URYLGHWKH &DUHHU 6HUylfHV RIILFH ZLWK DILQDOZULWWHQ SHUIRUPDQFH HY  
WRWKH)DFXOW\ 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

&UHGLWFDQQRWEHLVVXHG XQWLO\$//SDSHUZRUN DQG UHTXLUHG HY

,KDYHGLVFXVVHG WKHLQWHUQVKLS ZLWK WKHLQWHUQDQG KDYHQHJRWLDWHG DQG DV  
WKLVDJUHHPHQW ,IXUWKHUDJUHHWR EHDYDLODEOH IRU FRXQVHO DQG DGYLFH IRU WH

,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3OHDVH 3ULQW 'DWH

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, DJUHH ZLWK WKH VWLSXODWLRQ RI WKH DJUHHPHQW , IXUWKHU DJUHH WREH DYDL  
DSSURSULDWH WR YLVLW WKH VLWH DQG RU FRQYHUVH ZLWK WKH ,QWHUQVKLS VXS

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&DUHHU 6HUYLEFHV 6LJQDWXUH

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3OHDVH 3ULQW

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'DWH

&XPXODWLYH \*3\$

RU EHWWHU LV UHTXLUHG DW WKH WLPH RIDSSOLFDM

/DVWGD\ WR VXEPLW IRUPV WR +&& LV :HGQHVGD\ 2FWREHU

,17 ,QWHUQVKLS &RXUVH

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7KLV LV D UHOHDVH 3OHDVH UHDLW FDUHIXOO\

678'(176 0867 68%0,77+,6 &203/(7(')250 72 7+(+\$0((70\$1 &\$5((5 &(17(5:,7+ 678'(17 /(\$51,1\*\$

DP D VWXGHQW DW 2FFLGHQWDO &ROOHJH  
VHPHVWHU DW WKHIROORZLQJ

GXUL DOO 6SULQJ 6XPPHU

,QWHUQVKLS 6LWH

&LW\ 6WDWH SOHDVHLQGLFDWH

2FFLGHQWDO &ROOHJH GRHV QRW FRQWURO WKHZD\LQ ZKLFK WKHLQWHUQVKL  
FUHGLW IRU WKLV LQWHUQVKLS WKH &ROOHJH DIILUPV WKDW WR WKHEHVW RIL  
VWXGHQWV LQ DOLEHUDO DUWV SURJUDP RI VWXG\ DQG ZRUWK\ RI 2FFLGHQWDO

6WXGHQW :DLYHU )RUP ^ 3DJH

6WXGHQW 1DPH \_\_\_\_\_

, DFNQRZOHGJH WKDW DOO ULVNV FDQQRW EH SUHYHQWHG DQG VRPH ULVNV FRX  
LQFOXGLQJ GHDWK , DJUHH WR DVVXPH WKRVH ULVNV ZKHWKHU IRUHVHHQ RU X  
H[SUHVVO\ DVVXPH WKDW WKH UHVSQRVLELOLW\ RI HGXFDWLQJ P\ VHOI WR WKH  
ULVNV ERWK NQRZQ DQG XQNQRZQ

, RQ EHKDOI RIP\ KHLUV DVVLJQV UHSUHVHQWDWLYHV H[ HFXWRUV RU DGPL  
RIILFHUV ERDUG PHPEHUV HPSOR\HHV DGYLVRUV DJHQWV RU UHSUHVHQWDW  
RU LOOQHVV LQFOXGLQJ EXWQRW OLPLWHG WR GHDWK WKDW PD\ UHVXOW IU  
GHSDUWXUH RU IROORZLQJ P\ UHWXUQ

6&23(2) 5((\$6( , DP VLJQLQJ WKLW /LDELOLW\ 5HOHDVH DQG &ODLP :DLYHU ZLW

'\$ JHQHUDO UHOHDVH GRHV QRW H[WHQG WR FODLPV ZKLFK WKH FUHGLWRU  
H[ HFXWLQJ WKH UHOHDVH ZKLFK LI NQRZQE\ KLP PXVW KDYH PDWHULDOO\

, ZDLYH WKH SURYLVLQRQ RI WKLW VWDWXWH DQG DQ\ VLPLODU SURYLVLQRQ RI W

, KDYH UH DG WKH IRUHJRLQJ DQG VLJQ WKLW DV DQ DFW RI P\ RZQ IUHH ZLOO ZLW

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6LJQDWXUH

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3OHDVH 3ULQW

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'DWH

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3DUHQWRU \*XDUGLDQ LISDUWLFLSDQ 3OHDVH 3ULQW

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'DWH

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/H DUQLQJ 2EMHFWLYH 'HVFULSWLRQ

/H DUQLQJ 2EMHFWLYHV KHOS \RX IRFXV R Q E Z H B R W H W D B W H R W R J Q D V H Q J Q R P L S X 7 U K  
LQGLUHFWO\UHODWHG WR \RXU FRXUVH R I V W X G \ /H DUQLQJ 2EMHFWLYHV SURY  
DQG VNLOOV WRV O H D U Q L Q D G G L W L R Q W R S H U I R U P L Q J W K H W D V N V U H T X L U H G  
DFTXLUH ZKDW LQWHOOFWXDO R U I X Q F W L R Q D O V N L O O V \ R X K R S H W R G H Y H O R  
SHUVRQDO YDOXH V L S R & R I G N H W Z H V S O R D H , Q W H U Q V K L S 6 X S H U Y L V R U W K D W \ R X U  
V X F F H V V I X O O \ D F K L H Y H W K H P E H I R U H W K H H Q G R I \ R X U L Q W H U Q V K L S D V V L J Q P H

:ULWLQJD /H DUQLQJ 2EMHFWLYH

%H O R Z D U H N H \ D U H D V W R I R F X V R Q Z K H Q Z U L W L Q J \ R X U / H D U Q L Q J 2 E M H F W L Y H V

\$ .QRZOHGJH WR EHDFTXLUHG

5HJDUGLQJRQH V DFDGHPLF PDMRU L H W K H R U L H V S U D F W L F H V  
5HODWHG WR DSDUWLFXODU EXVLQHVV LQG XVWU \ R U R S H U D W L R Q D O  
5HOHYDQW WR FDUHHU GHYHORS PHQW

% 6NLOOV WR EHGHYHORS HG ERWKLQWHOOFWXDO DQG IXQFWLRQDO

\$ Q D O \ W L F D O V N L O O V I R U R Q H V D F D G H P L F P D M R U  
2SHUDWLRQDO VNLOOV WR SHUIRUP IXQFWLRQV UHTXLUHG LQ WKH LQW  
, QWHUSHUVRQDO VNLOOV WR LQWHUDFW VXFFHVVIXOO \ R Q W K H M R E

& 3UREOHPV WR EHVROYHG

\$ S S O L F D W L R Q R I R Q H V D F D G H P L F P D M R U  
'HWDLOV WR XQGHUVWDQG DERXW RQH V ZRUN DVVLJQPHQW  
4XHVWLRQV WR UHVROYH DERXW RQH V SHUVRQDO LQWHUHV V

' 9DOXHV WR EHFODULILHG

, QWHUHVW LQ WKH QRQSURILW SULDYDWH JRYHUQPHQW RU QRQ JRYHU  
7HDP RULQGLYLGXDO EXVLQHVV SURIHVVLRQDO EXVLQHVV FDXDO R  
0LVVLRQRU UHVXOWV GULYHQ ZRUN  
3DVVLRQIRU VPDOO PLG VLJHG RU ODUJH ILUPV

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2EMHFWLYHV 6&2 IRU VRPH RQH ZKRVH JRDO LV  
GHS DUWPHQWV RI :DUQHU %URWKHUV  
  
/2 7R OHDUQ KRZ WR ZULWH PDUNHWLQJ PDWHU  
/2 \*DLQ H[SRVXUH WR WKH VWHSV WR SODQQLQ  
/2 /H DUQ KRZ WR SURPRWH :DUQHU %URWKHUV  
  
6&2 , SODQ WR FUDIW SURPRWLRQDO OLWHUDW  
6&2 , ZLOO DVN WR ZRUN RQ DQ HYHQW  
6&2 \$WWHQG 3 5 WHDP PHHWLQJV



